

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

422 Rec'd PCT/PTO 22 MAY 2000

IN RE APPLICATION OF: Thorsten SCHUTTE, et al.
SERIAL NUMBER: NEW U.S. PCT APPLICATION(BASED ON PCT/EP98/07729)
FILED: HEREWITH
FOR: TRANSFORMER

Handwritten signatures and initials:
11/26/00

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant(s) wish to disclose the following information.

REFERENCES

■ The applicant(s) wish to make of record the references listed on the attached PTO Form 1449, as filed in "holding application" Serial No. 09/147,325 filed February 17, 1999, which contains subject matter related to that disclosed in the subject application and other bulk filing applications. Three copies of each of the listed references were provided to the Patent Office consistent with the requirements in the attached Paper No. 11 Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98.

A check is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

■ Attached is a list of applicant's pending applications or issued patents which are thought to be related to the present application.

A check is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

The undersigned certifies that

- each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

PETITION

Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR § 1.17(i)(1).

DEPOSIT ACCOUNT

■ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Handwritten signature of Gregory J. Maier
Gregory J. Maier
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

Corrected 03/20/00

Docket Number:

9847-0001-6X PCT

Application Number
09/554921
09/147,325

Applicant(s):
MATS LEIJON ET AL

Filing Date:
FEBRUARY 17, 1999

Group Art Unit:
2834

U.S. PATENT DOCUMENTS **422 Rec'd PCT/PTO 22 MAY 2000**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US1304451	5/20/19	L. H. Burnham		
	2	US1418856	6/2/22	Robert B. Williamson		
	3	US1481585	1/22/24	James Robert Beard		
	4	US1728915	9/24/29	E. P. Blankenship et al		
	5	US1742985	1/7/30	L. H. Burnham		
	6	US1747507	2/18/30	Robert B. George		
	7	US1756672	4/29/30	John M. Barr		
	8	US1762775	6/10/30	Albert G. Ganz		
	9	US1781308	11/11/30	Mauritz Vos		
	10	US1861182	5/31/32	F. Hendey et al		
	11	US1974406	9/25/34	Vincent G. Apple et al		
	12	US2006170	6/25/35	Gustof A. Juhlin		
	13	US2206856	7/2/40	W. E. Shearer		
	14	US2217430	10/8/40	R. A. Baudry		
	15	US2241832	5/13/41	H.W. Wahlquist		
	16	US2251291	8/5/41	L. O. Reichelt		
	17	US2256897	9/23/41	W. F. Davidson et al		
	18	US2295415	9/8/42	G.R. Monroe		
	19	US2415652	2/11/47	R. B. Norton		
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	21	US2436306	2/17/48	J. S. Johnson		
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	23	US2459322	1/18/49	G. T. Johnston		
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	43	US3365657	1/23/68	James Webb		
	44	US3372283	5/5/68	A. A. Jaecklin		

Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION LIST
 ALTERNATE FORM PTO-1449
 (Corrected Listing of Original List)

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45	US3418530	11/24/68	W. H. Cheever		
46	US3435262	3/25/69	R. B. Bennett et al		
47	US3437858	4/8/69	R. B. White		
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100	US4121148	10/17/78	H. Platzler			
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143	US4367425	1/4/83	M. Mendelsohn et al			
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145	US4369389	1/18/83	D. Lambrecht			
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160	US4477690	10/16/84	P. Nikitin et al			
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176	US4607183	8/19/86	J. Rieber et al			
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181	US4650924	3/17/87	J. Kauffman et al			
182	US4656379	4/7/87	F. McCarty			
183	US4677328	6/30/87	K. Kumakura			
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189	US4766365	8/23/88	L. Bolduc et al			
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191	US4795933	1/3/89	K. Sakai			
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193	US4845308	7/4/89	E. Womack, Jr. et al			
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197	US4860430	8/29/89	H. Raschbichler et al			
198	US4864266	9/5/89	L. Feather et al			

Examiner

Date
 Considered

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INFORMATION DISCLOSURE CITATION LIST
 ALTERNATE FORM PTO-1449
 (Corrected Listing of Original List)

09/554921
 PTO 22 MAY 2000

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202	US4918347	4/17/90	Y. Takaba			
203	US4918835	4/24/90	H. Wcislo et al			
204	US4924342	5/8/90	R. Lee			
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206	US4942326	7/17/90	J. Butler, III et al			
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218	US5097241	3/17/92	E. Smith et al			
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220	US5111095	5/5/92	J. Hendershot			
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234	US5325008	6/28/94	J. Grant			
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236	US5341281	8/23/94	G. Skibinski			
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243	US5452170	9/19/95	S. Ohde et al			
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247	US5530307	6/25/96	G. Horst			
248	US5545853	8/13/96	N. Hildreth			
249	US5550410	8/27/96	C. Titus			
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Examiner _____ Date Considered _____

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	258	US5807447	9/15/98	I. Forrest			
	259	US681800	9/3/01	O. Lasche			
Subtotal	259						

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	AT399790	7/25/95	Austria		
	2	BE565063	2/23/57	Belgium		
	3	CH391071	4/30/65	Switzerland		
	4	CH534448	2/28/73	Switzerland		
	5	CH539328	7/4/73	Switzerland		
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	7	DD137164	8/15/79	Germany DDR		
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	9	DE1638176	6/24/71	Germany		
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	12	DE2155371	5/17/73	Germany		
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	18	DE19547229	6/19/97	Germany		
	19	DE2824951	12/20/79	Germany		
	20	DE2835386	2/21/80	Germany		
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	23	DE2913697	10/16/80	Germany		
	24	DE2917717	8/20/87	Germany		
	25	DE2920478	12/4/80	Germany		
	26	DE2939004	4/9/81	Germany		
	27	DE3006382	8/27/81	Germany		
	28	DE3008818	9/10/81	Germany		
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	30	DE3028777	3/26/81	Germany		
	31	DE3305225	8/16/84	Germany		
	32	DE3309051	9/20/84	Germany		
	33	DE336418	6/23/20	Germany		
	34	DE3441311	5/15/86	Germany		
	35	DE3543106	6/11/87	Germany		
	36	DE3612112	10/15/87	Germany		
	37	DE372390	3/27/23	Germany		
	38	DE3726346	2/16/89	Germany		
	39	DE387973	1/9/24	Germany		

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43	DE4233558	3/31/94	Germany		
44	DE425551	2/20/26	Germany		
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47	DE433749	9/7/26	Germany		
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42	OD043	A study of equipment sizes and constraints for a unified power flow controller; J Bian et al; IEEE 1996
Subtotal	43	

GRAND TOTAL	671		
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Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

Docket Number:

9847-0001-6X PCT

09/5549c1
Application Number

09/147,325

Applicant(s):

MATS LEIJON ET AL

Filing Date:

FEBRUARY 17, 1999

Group Art Unit:

2834

U.S. PATENT DOCUMENTS **422 Rec'd PCT/PTO 22 MAY 2000**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al		
	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch		
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Subtotal

Examiner _____ Date Considered _____
 *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

422 Rec'd PCT/PTO 22 MAY 2000

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
2	GB 1,322,433	7/4/1973	Siemens Akstien-gesellschaft		
3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
4	WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al		
5	WO 98/20602	5/14/1998	Soren Berggren		
6	WO 98/34239	8/6/1998	Gunnar Steneorps-gatan et al		
7	WO 99/28922	6/10/1999	Thorsten Schutte et al		
8	WO 99/29005	6/10/1999	Mats Leijon et al		
9	WO 99/29023	6/10/1999	Peter Carstensen et al		
10	WO 99/29025	6/10/1999	Mats Leijon et al		
11	EP 0056580 A1	7/28/1982	Jacobus F.H. Van der Vegt		
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Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEPO 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)

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Subtotal

GRAND TOTAL

Examiner Date Considered

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